

AP33635 (067668.0104)

#4
KWS
6-7-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Kravitz
Serial No. : 10/015201 Examiner: To be assigned
Filed : October 19, 2001 Group Art Unit: To be assigned
For : SYSTEM AND METHOD FOR MANAGING TRUST
BETWEEN CLIENTS AND SERVERS

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SUPPLEMENTARY INFORMATION DISCLOSURE STATEMENT

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231

February 14, 2002

Date of Deposit

Jeffrey D. Sullivan

Attorney Name

43,170

PTO Registration No.

Jeffrey D. Sullivan
Signature

February 14, 2002

Date of Signature

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The Applicant, by his attorneys, hereby brings the following documents to the attention of the Examiner in connection with the examination of the above-captioned patent application:

Patel, B., et al., "Ticket based service access for the mobile user," 1997,

Proceedings of Mobicom '97, Budapest, Hungary. Full text available through ACM

03/05/2002 JADD01 00000058 10015201

01 FC:126

180.00 OP

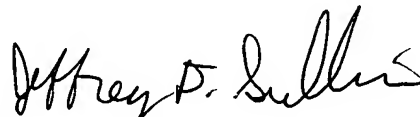
NY02:367960.1

Digital Library Document Delivery Service at <http://portal.acm.org/dl.cfm?CFID=915821&CFTOKEN=26102377>.

A PTO-1449 form and a copy of each of the above-listed documents is enclosed.

No Official Action on the merits has been mailed for this application that Applicant or his attorneys are aware of. Accordingly, no fee is believed to be necessary for consideration of this Supplementary Information Disclosure Statement. However, the Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 02-4377.

Respectfully submitted,



Jeffrey D. Sullivan
Patent Office Reg. No. 43,170

Agent for Applicants
(212) 408-2589

Enclosures

Serial No.
10/015201

SUPPLEMENTARY INFORMATION
DISCLOSURE STATEMENT
BY APPLICANT
(Use several sheets if necessary)

Applicant
Kravitz

Filing Date
October 19, 2001

Group
to be assigned

[illegible]

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Technology Center 2100

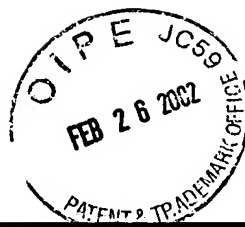
[illegible]

		Patel, B., et al., " <i>Ticket based service access for the mobile user</i> ," 1997, Proceedings of Mobicom '97, Budapest, Hungary. Full text available through ACM Digital Library Document Delivery Service at http://portal.acm.org/dl.cfm?CFID=915821&CFTOKEN=26102377 .

Patel, B., et al., "Ticket based service access for the mobile user," 1997, Proceedings of Mobicom '97, Budapest, Hungary. Full text available through ACM Digital Library Document Delivery Service at <http://portal.acm.org/dl.cfm?CFID=915821&CFTOKEN=26102377>.

Date Considered

* Examiner: Initial citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Please type a plus sign (+) inside this box → ☐

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/015,201

Filing Date October 19, 2001

First Named Inventor Kravitz, D.

Group Art Unit

Examiner Name

Attorney Docket Number AP33635

Total Number of Pages in This Submission

RECEIVED

MAR 28 2002

Technology Center 2100

ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☒ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) _____

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☐ Other Enclosure(s) (please identify below)

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	BakerBotts LLP 30 Rockefeller Plaza New York, NY 10112		
Signature		Att Name:	Jeffrey D. Sullivan
		PTO Reg:	43,170
Date	2/14/02		

CERTIFICATE OF MAILING

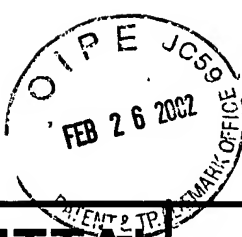
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 2/14/02

Typed or printed name

Jeffrey D. Sullivan

Signature

Date 2/14/02

BAKER BOTTS LLP

21610410 #
03/02/02

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	10/015,201
Filing Date	October 19, 2001
First Named Inventor	Kravitz, D. RECEIVED
Examiner Name	
Group Art Unit	MAR 28 2002
Attorney Docket No.	AP33635 Technology Center 2100

TOTAL AMOUNT OF PAYMENT (\$ 180

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number **02-4377**
- Deposit Account Name **Baker Botts LLP**
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ Payment Enclosed:
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
740	370	Utility filing fee	
330	165	Design filing fee	
510	255	Plant filing fee	
740	370	Reissue filing fee	
160	80	Provisional filing fee	

SUBTOTAL (1) (\$ 0

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
20 **	= 0	X	= 0
3 **	= 0	X	= 0
Multiple Dependent			

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent claim, if not paid
84	42	** Reissue independent claims over original patent
18	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
1,510	1,510	Petition to institute a public use proceeding	
110	55	Petition to revive - unavoidable	
1,280	640	Petition to revive - unintentional	
1,280	640	Utility issue fee (or reissue)	
460	230	Design issue fee	
620	310	Plant issue fee	
130	130	Petitions to the Commissioner	
50	50	Processing fee under 37 CFR 1.17(q)	
180	180	Submission of Information Disclosure Stmt	180
40	40	Recording each patent assignment per property (times number of properties)	
740	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
740	370	For each additional invention to be examined (37 CFR § 1.129(b))	
740	370	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 180

SUBMITTED BY

Name (Print/Type)	Jeffrey D. Sullivan	Registration No. (Attorney/Agent)	43,170	Telephone	(212) 488-2500
Signature	<i>Jeffrey D. Sullivan</i>	Date	2/14/02		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.